

UNIDO Independent Evaluation Division:
Work programme and provisional budget
for 2016/2017

7 March 2016

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Decisions by the UNIDO Executive Board

The present document seeks the decision of the Executive Board on the following points:

1. Agreement on continuing the emphasis on strategic and thematic evaluations, with priority given to the inclusive and sustainable industrial development agenda and on assessing related results at outcome and impact levels but, at the same time, ensuring that mandatory project/programme evaluations are conducted.
2. Agreement to mainstream issues related to contributions to inclusive sustainable industrial development, and the operationalization and follow-up of the implementation of the SDGs, in particular SDG-9 and those where UNIDO contributes directly.
3. Agreement on conducting the thematic/impact evaluations and country level evaluations as detailed in this document.
4. Agreement on continuing joint activities with the United Nations Evaluation Group (UNEG) and other international cooperation agencies and on activities to support national evaluation capacity building of UNIDO counterpart ministries
5. An allocation of euro 365,000 for 2016 and of euro 325,000 for 2017 for the implementation of the ODG/EVQ/IEV work programme.

1. Background and introduction

“Evaluation everywhere, and at every level, will play a key role in implementing the new development agenda. [...] Evaluation is not easy. Nor is it popular. But it is essential. The current constrained budgetary climate makes it more important than ever”.

UN Secretary-General Ban Ki-moon

The 2030 Agenda calls for ambitious, universal and transformative action over the next fifteen years. It also calls for heightened accountability and for sustainable development results that are supported by a robust evaluation function at all levels. In this context, the General Assembly of the United Nations (UN) and the UN Secretary-General have made many calls for evaluation to be strengthened.

As provided in the UNIDO Evaluation Policy, the Director General and the Executive Board ensure that adequate resources are allocated to evaluation. As such they approve the biannual work programme (WP) and provisional budget of the UNIDO Independent Evaluation Division (ODG/EVQ/IEV). The budgets allocated since 2008 by the Executive Board for the biannual work programmes have been stable at values around euro 600,000 to euro 700,000.

The WP budget enables ODG/EVQ/IEV to conduct thematic, impact/strategic and country evaluations, to implement activities jointly with other UN agencies, and to contribute to the national capacity building and strengthening of evaluation capacities of counterpart ministries and key government stakeholders. The demand for strengthening evaluation capacities is rapidly growing (including in the context of the UNIDO Programmes for Country Partnerships (PCPs) development) as policy makers increasingly voice their concern for the lack of relevant information to support evidence-based policymaking for sustainable development.

Through the implementation of this WP, ODG/EVQ/IEV will continue its efforts in supporting the Organization’s priority towards the implementation of the 2030 Agenda for Sustainable Development, notably SDG-9.

During this biennium a substantive increase in mandatory independent project terminal evaluations, in particular, GEF terminal evaluations, is foreseen that will require complementary ODG/EVQ/IEV consultancy resources, in particular, to support GEF terminal evaluations and to ensure that UNIDO is aligned to the GEF evaluation policy and its fiduciary standards.

This work programme and provisional budget covers the 2016/2017 biennium and serves three main purposes, namely to:

- 1) Provide a planning and budget instrument for ODG/EVQ/IEV;
- 2) Provide information to UNIDO managers and staff and other stakeholders on evaluations planned; and to ensure that evaluation budgets are allocated under the project budgets of these projects for which an evaluation is mandatory;
- 3) Facilitate organizational learning and evaluation capacity building of UNIDO staff and national counterparts.

Moreover, the work programme and provisional budget 2016/2017 responds to the request of the Lima Declaration for UNIDO to report on results in achieving enhanced levels of inclusive and sustainable industrial development and on its development impact. It also provides that the UNIDO independent evaluation function further contributes to the

relevant monitoring and evaluation mechanisms for the follow-up of the operationalization and implementation of the SDGs.

The work programme is in line with the UNIDO Evaluation Policy and with the UNEG Norms and Standards for evaluation and their principles of independence, credibility and utility. Due consideration has been given to aspects of accountability and availability of evidence-based information about development results, for learning and informed decision-making and strategy formulation. A consultative process was used in developing the work programme and proposals for evaluations have been collected within the Organization.

Moreover, the Director-General's Administrative Instruction No.17/Rev.1 and the appended Guidelines for the Technical Cooperation Programme and Project Cycle (TC Guidelines) provide guidance on the management of technical cooperation programmes and projects. These Guidelines specify that independent terminal evaluations are mandatory for programmes/projects:

- (i) with UNIDO inputs (budget without support costs) exceeding euro 1 million¹; and,
- (ii) being considered for extension in excess of euro 0.7 million.

The TC Guidelines also specify that independent evaluations should be conducted for projects that are:

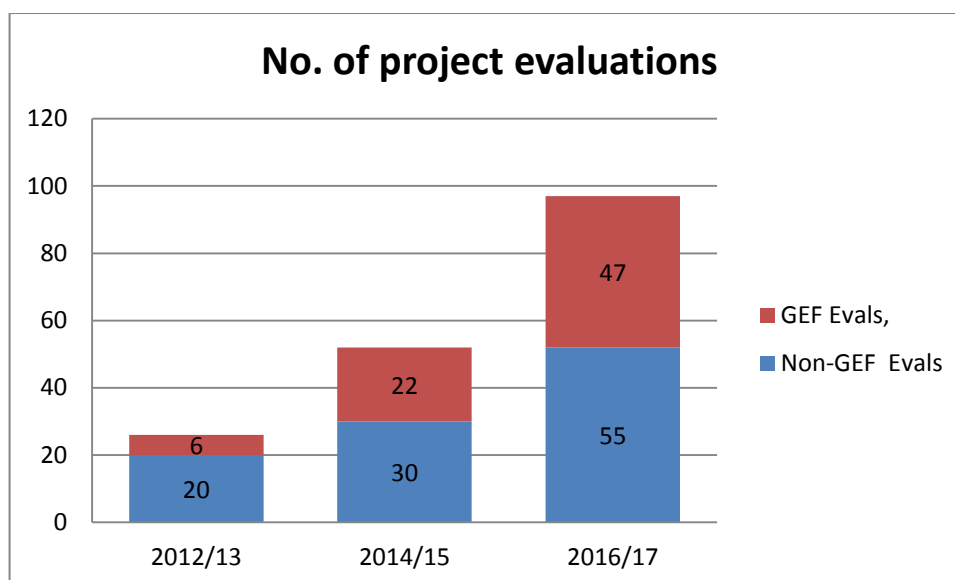
- (i) Subject to evaluation requirements and mechanisms established in the funding agreement with the donor;
- (ii) Encountering major implementation problems and/or severe disagreement between stakeholders; or those displaying a remarkable measure of success or replicability.

In particular instances, donors, counterparts, senior UNIDO management or ODG/EVQ/IEV may commission an independent evaluation at any time.

The work programme incorporates various categories of evaluations: mandatory project/programme evaluations, country evaluations and thematic/strategic evaluations,

The 2016/2017 biennium foresees more than 100 mandatory project terminal evaluations (55 non-GEF and 47 GEF projects), compared to a total number of 52 project evaluations during 2014/2015 and 26 during 2012/2013.

¹ As per EB Decision EB/2016/4-Page 10: In order to reduce work load of ODG/EVQ/IEV, to lift threshold of mandatory project evaluations to 2,000,00 euro (from currently 1,000,000 euro)



As in the past, country evaluations will continue to review the entirety of UNIDO's presence in a country. Thus, in addition to integrated programmes (IPs) /country service frameworks (CSFs) and country programmes (CPs), components of regional programmes and individual projects, including Montreal Protocol (MP) and Global Environment Facility (GEF) projects, synergies between projects and programmes as well as contributions to UNIDO's global forum function and to UN-wide mechanisms will be assessed. The evaluation of the new PCPs will also be included in this category.

In view of the substantial growth in technical cooperation (TC) delivery and a corresponding increase in projects for which an evaluation was mandatory during the biennium 2012/2013, and based on the limited staff resources in ODG/EVQ/IEV, the Executive Board agreed on a deeper involvement of PTC staff in the planning and managing of project evaluations under the supervision and guidance (incl. quality assurance) of ODG/EVQ/IEV. GEF projects were exempted from this procedure and ODG/EVQ/IEV continued to manage the full evaluation process. This delegated management for projects other than GEF terminal evaluations was continued during 2014/2015 and will be continued further during 2016/2017.

2. Work programme priority areas and planned evaluations

ODG/EVQ/IEV will continue to be involved in evaluations at three levels:

1. Thematic/impact, or strategic
2. Country
3. Project and programme

Strategic and thematic/impact evaluations have been selected on the basis of in-house consultations and the draft ODG/EVQ/IEV work programme was shared with the members of the UNIDO Executive Board. Requests that were expressed and expected utility were major criteria for the selection of thematic/impact, strategic and country evaluations. All evaluations will have a major focus on efficiency and effectiveness issues, including results at the outcome and impact levels. Due attention will be given to feeding evaluation findings and recommendations into results-oriented reporting, strategic planning frameworks and organizational learning and to link them up with ongoing in-house research activities.

2.1 Thematic/impact and strategic evaluations

ODG/EVQ/IEV will continue to conduct thematic/impact and strategic evaluations as this has been found instrumental to increase the utility of and learning from evaluation. During the biennium 2016/2017 the following evaluations will be conducted:

1. Thematic evaluation of UNIDO's communication with and reporting to donors, incl. evaluation of EU support to UNIDO programmes²
2. Thematic evaluation of effectiveness of staff training
3. Independent mid-term evaluation of the UNIDO ISID-PCP framework, incl. UNIDO ISID global forum activities, status of the three PCPs pilots in Ethiopia, Peru, Senegal
4. Impact evaluation of UNIDO activities in the area of energy efficiency

Table 1 below summarizes the envisaged thematic/impact evaluations and proposed time frames and budgetary requirements.

Table 1: Thematic/impact evaluations planned for 2016/2017

Theme	Tentative year	Budget (in euro)
1. Thematic evaluation of UNIDO's communication with and reporting to donors, incl. evaluation of EU support to UNIDO programmes ³	2016	50,000
2. Thematic evaluation of effectiveness of staff training	2016	40,000
3. Independent mid-term evaluation of the UNIDO ISID-PCP framework, incl. UNIDO ISID global forum activities, status of the three PCPs pilots in Ethiopia, Peru, Senegal	2017	50,000
4. Impact evaluation of UNIDO activities in the area of energy efficiency	2017	60,000
TOTAL (in euro)		200,000

² Time and scope to be defined in the evaluation TOR and inception phase. Preliminary expected to cover the previous three bienniums.

³ *ibid.*

2.2 Country evaluations

The ODG/EVQ/IEV work programme 2016/17 encompasses four country evaluations of which two are planned for implementation in 2016 and two in 2017. Table 2 below lists the planned evaluations and provides details on the rationale for selection.

Due consideration was, inter alia, given to the size of the TC portfolio, including IP, CP, GEF and MP projects. Information on country evaluations and related major TC components is provided in annex I.

Table 2: Country evaluations planned for 2016/2017⁴

Country	Programme	Project portfolio ⁵ to be covered by the country evaluation (est. in USD)	Remarks	EVALUATION	
				Timing	Budget (in euro)
UR Tanzania	CP	20,000,000	UR requested evaluation of CP; large size of country portfolio and preparation of next CP in 2016	2016	160,000
Regional LAC		25,000,000	Coverage and scope preliminary agreed in consultation with FRO-MEX		
Cambodia		39,000,000	Large size of country portfolio		
Nigeria	IP II	32,000,000	UR requested evaluation of CP; large size of country portfolio	2017	100,000
TOTAL					260,000

2.3 Project evaluations

A. Project evaluations (non-GEF)

ODG/EVQ/IEV will continue with advisory, clearance and quality assurance functions in relation to independent project evaluations with delegated management to PTC.TOR, inception report, draft and final report are to be cleared by ODG/EVQ/IEV. Moreover, the selection of independent evaluation consultants falls under the responsibility of ODG/EVQ/IEV.

A flow chart illustrating the roles and responsibilities of the evaluation team, project managers and of ODG/EVQ/IEV in the project evaluation process of stand-alone projects (excl. GEF) and in relation to various evaluation outputs can be found in annex II.

In annex III, a provisional list of all stand-alone projects (excluding GEF projects) due for evaluation in 2016 and 2017 has been established.

⁴ Source: Information received from UR (URT); and Infobase and SAP

⁵ A tentative overview of the project portfolio that is envisaged to be covered by the individual country evaluations is available under annex I.

B. GEF projects evaluation

Terminal evaluations for GEF-funded projects are conducted in line with the GEF Evaluation Policy and fiduciary standard and for these GEF terminal evaluations ODG/EVQ/IEV assumes a direct management function. The flowchart for the GEF project evaluation process and responsibilities in a terminal evaluation is provided in annex IV.

It is noted that a significant number of GEF projects, compared to the previous biennium is due for evaluation during the 2016/2017 biennium. As regards GEF terminal evaluations (TEs), a total number of **47 GEF TEs**⁶ are planned. **26** such TEs are foreseen in 2016, and **21** in 2017 (see related project list under annex VI). This represents another significant increase from previously 22 TEs in 2014/2015 and 6 TEs in 2012/2013.

Consideration will be given to conduct a cluster evaluation of **GEF Cleantech programmes for SMEs** to cover the individual mandatory terminal evaluations of such projects expected during 2016/2017⁷.

For the tasks of managing GEF terminal evaluations and providing support and guidance to project managers on evaluation issues, ODG/EVQ/IEV has assigned a GEF focal point within the Independent Evaluation Division. However, there will be a need for additional support to manage the GEF evaluation portfolio and euro 60,000 per anno are allocated for consultancy support to assist in the preparation and management of GEF-related terminal evaluations. Project managers are responsible to timely initiate the evaluation process and to ensure that sufficient project resources are available to fund evaluation activities in accordance with GEF standards and provisions in the project document.

C. Projects to be evaluated by donors

ODG/EVQ/IEV will continue to be involved in evaluations of UNIDO executed projects conducted by donors. To this category belong, among other, projects funded by the EU. Annex VIII provides a list of the foreseen donor-implemented evaluations.

3. Participation in the UNEG and other external working groups

ODG/EVQ/IEV will continue to be active in the United Nations Evaluation Group (UNEG), including participating in various UNEG Task Forces and sharing experiences within the UN evaluation community.

4. Methodological development, learning and capacity building

ODG/EVQ/IEV will pursue its efforts towards strengthening evaluation capacities within UNIDO and those of counterpart ministries.

In order to facilitate the mode of PTC-managed evaluations, ODG/EVQ/IEV has developed and equipped operational staff with the necessary tools (e.g., guidance documents for the drafting of terms of references, gender guidelines) that enable them carry out their evaluation-related tasks. To ensure that EVA/UNEG norms and standards for independent evaluations are met, a quality checklist was developed. During 2016/2017,

⁶ The number of evaluations is based on information provided by PTC/OMD as of 13 October 2015 and on information received from project managers as of the same date.

⁷ Feasibility, approach and scope to be discussed with PTC/ENV

EVA is planning to organize related evaluation workshops for project implementers who will manage evaluations during the new biennium.

The need for strengthened evaluation capacities in partner countries continues to be a priority and is in line with the Busan Outcome Document. ODG/EVQ/IEV responded to this call by including a component for training and capacity building of partners in its previous work programme. The evaluation training workshops conducted for countries in the Asian and LAC regions in 2014/15 will be followed by evaluation capacity building workshops for French-speaking African countries and the Central American region in 2016/17. The trainings will be implemented in cooperation with the respective UNIDO Field Offices and the Bureau of Regional Programmes and technical branches as appropriate. However, it should be noted that the implementation of these activities will depend on the availability of funds.

5. Estimated budget

Project evaluations

In line with the UNIDO Evaluation Policy and TC Guidelines, project evaluations will continue to be financed from the respective project budgets and it is the responsibility of project managers to ensure that evaluation outputs are created and corresponding budgets are available at the time of the evaluation. The standard cost estimate for the evaluation of a euro 1 million project amounts to euro 35,000 (est.), i.e., 3.5 per cent of the project total. Actual costs will, *inter alia*, depend on the complexity of the evaluation, the number of evaluators needed, the duration of the evaluation exercise and the number of countries to be visited.

ODG/EVQ/IEV work programme and provisional budget

The budget for the implementation of the overall ODG/EVQ/IEV work programme and provisional budget for the biennium 2016/2017 is estimated at euro 610,000. An amount of euro 365,000 is budgeted for 2016 and an amount of euro 325,000 for 2017.

The budgetary requirements for the thematic/impact and country evaluations are provided in tables 1 and 2 above. The overall budget breakdown for the ODG/EVQ/IEV work programme 2016/2017 is shown in table 3 below.

Table 3: Overall ODG/EVQ/IEV budgetary requirements for 2016 and 2017 (in euro)

2016	Estimated budgetary requirements (in euro)
Two country evaluations	160,000
Two thematic/impact evaluations	90,000
Joint missions and activities with international agencies	15,000
Training and capacity building of partners	40 000
Evaluations support (GEF and others)	60,000
Total amount for 2016	365,000
2017	
Two country evaluations	100,000
Two thematic/impact evaluations	110,000
Joint missions and activities with international agencies	15,000
Training and capacity building of partners	40 000
Evaluations support (GEF and others)	60,000
Total amount for 2017	325,000
Grand total (2016/2017)	690,000

Annex I: Country evaluations and related projects

UNITED REPUBLIC OF TANZANIA				
(Request for an evaluation of CP 2011-2015 was received from the UR)				
Project ID	Project grant / title	Allotment (USD)	Remarks	
Coordination of UNDAP implementation				
107142	FB/URT/11/004 YA/URT/11/003 - Coordination of UNDAP implementation / UNDAP economic growth cluster	Country framework of support to UNDAP 2011-2015: Economic growth	458,862.83	Note: Project formulated and incorporated in the CP later
100304	FB/URT/11/005 YA/URT/12/004 - Coordination of UNDAP implementation / Coordination of projects in EE PWG of UNDAP	Country framework of support to UNDAP 2011-2015: Environment	56,180.03	Note: Project formulated and incorporated in the CP later
Industrial Policy and Statistics Support				
100348	FB/URT/11/A04 YA/URT/11/C03 Industrial policy capacity building in URT	(SAP ID: 107142) Country framework of support to UNDAP 2011-2015	8,512.92	
120288	FB/URT/11/K04: SME policy implementation review	Country framework of support to UNDAP 2011-2015: Economic growth	117,756.66	
109028	FB/URT/11/B04: Industrial statistics capacity building in URT	Country framework of support to UNDAP 2011-2015: Economic growth	509,986.80	
120302	Tanzania national system of innovation	TNSI survey	231,601.91	Note: Project formulated and incorporated in the CP later
Enterprises, Competitiveness, Investment and Trade				
102208	FB/URT/11/C04: Investment promotion (including SPX)	Country framework of support to UNDAP 2011-2015: Economic growth	415,683.80	
101185	YA/URT/12/B04, YA/URT/11/A03, US/URT/11/A02, FB/URT/11/D04 - 3ADI: Red meat and cashew nut	Country framework of support to UNDAP 2011-2015: Economic growth	226,467.99	
101171	FB/URT/11/E04: 3ADI: Meat & cashew VC development - Tanzania	Country framework of support to UNDAP 2011-2015: Economic growth	1,180,367.03	Eval. was a PTC proposal under WP2014/2015 - another project under the overall UNDAP programme (FB/URT/11/G0 4) was evaluated in 2015; eval. timing to be determined by TL, Mr. E. Kalenzi

Project ID		Project grant / title	Allotment (USD)	Remarks
100228	FB/URT/11/FO4: Value chain support programme (3ADI) - Leather	Country framework of support to UNDAP 2011-2015: Economic growth	573,068.16	
102209	FB/URT/11/H04: Establishment of Business Information Center Zanzibar	Country framework of support to UNDAP 2011-2015: Economic growth	239,756.35	
102175	YA 2014 YA/URT/12/C04 FB/URT/11/G04: Tanzania industrial upgrading and Mod P	Country framework of support to UNDAP 2011-2015: Economic growth (FB/URT/11/G04)	906,541.84	PTC proposal for WP2016/17 Note: MTE took place in 2015 (eval. report available from intra-/internet)
100028	FB/URT/11/J04: Trade Capacity Building	Country framework of support to UNDAP 2011-2015: Economic growth	230,239.68	
120104	Tanzania - UN Trade cluster	TFURT_120104_MoU between ILO, ITC, UNCTAD, UNIDO and UNOPS,	402,600.00	Note: Project formulated and incorporated in the CP later
120576	FB/URT/12/L04, YA/URT/11/006	Country framework of support to UNDAP 2011-2015: Economic growth - Entrepreneurship education	33,645.00	Ibid
150054	Youth employment in Tanzania	FBURT: Youth & employment	386,000.00	Ibid [Note: This project is a component of a UN Joint Programme on Youth Employment in which other three (3) UN agencies namely FAO, ILO and UN Women and the RCO are participating. For UNIDO, interventions under the Joint Programme on Youth Employment were incorporated in previously existing four (4) projects/sub projects with SAP Nos. 100348, 109028, 100228 and 102175 and a new one with SAP No. 150054.]
Energy and Environment				
103176	US/URT/11/B02, YA/URT/11/B03 FB/URT/11/A05 Renewable Energy for Rural Productivity	Country framework of support to UNDAP 2011-2015: Environment	439,125.77	
104180	FB/URT/11/C05: Tanzania waste management in Zanzibar	Cleaner production for green industry	170,784.98	
100165	FB/URT/11/B05: Tanzania E-waste management		165,523.96	

Project ID		Project grant / title	Allotment (USD)	Remarks
100261	URT - MHP augment rural electrification	GF/URT/12/001: Mini-grids based on small hydropower sources to augment rural	3,350,000.00	Note: Project formulated and incorporated in the CP later
		YA/URT/12/002: SRA_YA_Tanzania_100261_MHP augment rural electrification	18,308.66	
		GF/URT/12/001: Mini-Grids based on micro hydropower sources to au	7,936.64	
140077	URT_WTE Applications in agro-indust. PIF	Tanzania #FSP Promotion of renewable energy applications in	5,277,000.00	Note: Project formulated and incorporated in the CP later
120319	GF/URT/12/009, TE/URT/12/008; TE/URT/12/009; URT - WTE applications PPG	Tanzania GEF 5 PPG: Waste to energy	50,000.00	
120218	XP/URT/12/005, YA/URT/12/003	Cleaner integral utilization of sisal waste for biogas and fertilizer	14,542.64	
120494	MP/URT/12/006	HCFC Phase out Management Plan (Stage 1 first tranche)	50,000.00	
100127	GF/URT/12/007	Review and update of the national implementation plan for the Stockholm Convention	210,000.00	
Regional / global projects				
[Note: a number of other national, regional and global projects were formulated with components for implementation in Tanzania, but were not incorporated in the CP and, therefore, could not be captured in the UNDP framework. The following such projects belong to this category]				
120340	PA-3ADI and AfriPANet Investment Project	PA-3ADI and AfriPANet investment project	42,193.68	
140229	EAC ind. intelligence	USRAF_140229: funded by the IDF ROK account: Strengthening institutional capacities for industrial policy in the EAC	1,061,947.00	Verify if there is an eval. requirement
120117	Strengthen local medicines production	Strengthen local medicines production (UE/GLO/12/048) - Proj. No. TE/GLO/12/028 - SAP 120191 to be covered by evaluation	2,655,408.94	Also PTC proposal for WP 2016/2017 NOTE: Project extended until 12/2016 (Ref. PM 17/3) NOTE: Eval. was deleted from WP2014/2015 and postponed to 2016 Eval. to consider proj. SAP 120191 (TE) and other related projects, incl. past eval. conducted in 2013 (TE/GLO/08/030 TE/GLO/10/023, XP/GLO/11/007)
TOTAL (est.)			19,490,043.27	

NIGERIA

(Request was received originally from UR for inclusion under WP2014/2015, but deferred to be undertaken during next biennium, 2016/2017)

Project ID	Project grant/title	Allotment (USD)	Remarks	
140203	West African Quality System (WAQS)	140203_EE/RAF14/001: West Africa Qual.Syst., ECOWAS FED/2013/336	958,725.02	
101210	NIR Youth Entrep & IT	Youth entrepreneurship development and IT capacity building	67,397.36	
090019	GF/NIR/02/004	Enabling Activities	431,479.41	
100260	GF/NIR/12/001-YA/NIR/12/002	SPWA-CC Mini-grids based on Renewable Energy (small-hydro an	2,621,799.99	GEF TE planned during Q2/2017
130220	National quality infrastructure (NQI)	EENIR_130220_EU-UNIDO agreement signed 18 Jul 2013	7,238,280.40	Indep. mid-term eval. to be conducted in Q1/2016 (January) and indep. terminal eval. is planned during Q3/2017
120119	Nigeria GEF 5 Scaling up SHP PIF	Scaling up small hydro power in Nigeria	2,689,680.00	GEF MTR planned during Q3/2017
120334	Nigeria GEF 5 Scaling up SHP (PPG)		50,000.00	
130317	Hg-MIA Nigeria	Minamata convention GEF ID 5871	1,000,000.00	
102051	Establishment of SPX Centre in Nigeria	SF/NIR/07/003 - SPX Nigeria: Assisting in establishing an Indus	139,713.15	
120552	UNIDO Country Programme for Nigeria	SF/NIR/12006_120552: Country programme for Nigeria 2013-2016	3,287,800.98	
120624	Nigeria – Supporting SCPZs	SF/NIR/13: Nigeria – Supporting SCPZs: Tasks	1,269,300.00	Indep. proj. eval. planned in Q4/2016
130002	Delta State: Leather Sector Development	SF/NIR/13: Delta State Government of Nigeria: Leather sector	725,663.72	
140012	Nigeria – Industrial skills gap study	SF/NIR: Skills gap assessment in Nigeria, ITF Nigeria	761,061.95	
140070	Nigeria: Capacity strengthening-NIRP	SF/NIR/12/006: Country programme for Nigeria 2013-2016	1,615,762.65	Indep. proj. eval. planned in Q3 or Q4/2017
103137	US/NIR/08/002	Country service frame work	108,119.38	
103138	US/NIR/08/003: RE Component	Country service framework, phase II	223,163.44	
103001	DG/NIR/10/006: AAP Nigeria	Supporting integrated and comprehensive approaches to climate	909,901.00	
120043	SF/NIR/09/001 (Part of CP 2009-2012) NIR: Job/career development fair	NIR: Job/career development fair	164,616.67	
120374	Nigeria NIP Update – 5167	Nigeria NIP Update	225,000.00	

Subtotal	24,487,465.12	
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Project ID		Project grant/title	Allotment (USD)	Remarks
Subtotal			24,487,465.12	
140185	NIR/REF/72/PRP/137	Preparation for HCFC	150,000.00	
120492	MP/NIR/12/005	HCFC phase-out management plan (Stage 1, second tranche) (refrigeration air-conditioning manufacturing and coordination)	1,195,172.00	
120537	MP/NIR/12/007	Pilot demonstration ODS waste management	911,724.00	
101170	NIG-Climate change adaptation	Supporting integrated and comprehensive approaches to climate change	454,545.01	
101069	SF/NIR/09/001	Leather Common Facility Center – Kano (CP for Nigeria, 2009-2012)	138,058.18	
101068	SF/NIR/09/B01	CP for Nigeria, 2009-2012	50,000.00	
102004	EE/RAF/11/009	Capacity-building of investment promotion agencies (IPAS) in Sub-Saharan Africa	1,763,992.15	[original PM: Mr. M. Kukur] – Mr. T. Boye
102117	UE/RAF/11/007	Capacity-building of investment promotion agencies (IPAS) in Sub-Saharan Africa	804,843.18	PM: Mr. T. Boye
106006	EE/RAF/07/017	West Africa Quality Programme (WAQP) – Support to competitiveness and SPS measures	12,543,092.60	See also under XX/RAF/11/X20 (cancelled); and SAP 120128 (PA)
101061	YA/RAF/12/014 FC/RAF/09/032 YA/RAF/10/004	Improving the income generating potential of the oil palm in West and Central Africa region (Nigeria and Cameroon)	3,271,953.63	Related projects: SF/NIR/09/F01 YA/RAF/06/015 [SF/CMR/09/004]
102173	TF/INT/11/015 TF/INT/11/B15	UNIDO - Hewlett Packard (HP) cooperation for entrepreneurship and IT capacity building in Africa, Asia, Latin America and the Middle East	966,759.37	Related projects: TF/INT/09/003 TF/INT/10/004 TF/INT/11/B15 TF/RAF/08/016 [original PM: Ms. B. Kreissler] – Ms. N. Tas
120012	XX/RAF/12/X07	Investing in a green future: bamboo value chain development in Cameroon and Nigeria	0.00	Note: proj. not found in SAP
TOTAL (est.)			46,737,605.24	

CAMBODIA			
Project ID	Project grant / title	Allotment (USD)	Remarks
TF/CMB/04/001 (SAP: 103118)	Rural energy for productive use and income generation	737,803.87	Part of CP
TF/CMB/10/002 (SAP : 104083)	Identification, assessment and prioritization of pollution (Hot-spot + TEST in Cambodia)	796,460.93	Part of CP
TF/CMB/14/001 (SAP: 120398)	Cambodia export diversification, CEDEP II	747,663.55	
TF/CMB (SAP: 120648)	Better fish quality & safety	318,462.20	Note: funds are received via World Bank)
GF/CMB/12/002 (SAP: 100223)	Technology Transfer Cambodia	1,690,000.00	GEF TE
GF/CMB/09/006 (SAP: 104032)	Climate change-related technology transfer for Cambodia using	80,000.00	
GF/CMB/11/001 (SAP: 104034)	Reducing greenhouse gas emissions through improved energy efficiency	1,240,000.02	GEF TE
XP/CMB/09/002 (SAP: 104126)	GEF/PPG	55,633.29	
GF/CMB SAP: 120118	Cambodia GEF 5 Biogas mini grids (PIF)	1,500,499.00	GEF MTR
GF/CMB SAP: 120327	Cambodia GEF 5 Biogas mini grids (PPG)	50,000.00	
GF/CMB/11/002-GF/CMB/11/XXX XP/CMB/XX/XXX (SAP: 104035)	Environmentally sound management of PCBs (PPA)	50,000.01	
TE/RAS/11/003 SAP: 106078	Trade capacity-building in the Mekong Delta countries of Cambodia, Lao People's Democratic Republic and Viet Nam, through strengthening institutional and national capacities related to standards, metrology, testing and quality (SMTQ) phase III	957,971.53	Requirement for eval. to be verified; Related projects: TE/RAS/06/001 (MEKONG, II), TF/RAS/02/003 (MEKONG, phase 1B)
UE/CMB/12/005 (SAP: 120047)	Access to clean energy for production	581,363.40	
TF (SAP: 120011)	Transforming e-waste into job and business	1,150,442.49	
150274	Country programme - Poverty reduction through the support of broad-based sustainable economic growth, and the promotion of opportunities for the poor (Formulation, preparation)	24,390.24	(Total allotment of proj. implemented under the CP as at 2012/12: USD3,763,340)
US/CMB/03/048 (SAP: 104115)	Promotion of cleaner industrial production	965,231.98	
		10,945,922.51	

Project ID	Project grant / title	Allotment (USD)	Remarks
Subtotal		10,945,922.51	
XP/CMB/09/005 (SAP: 104033)	Climate change (PA)	72,183.82	
GF/RAS/10/003 (SAP: 104066) XP/RAS/11/002 (SAP: 104067)	Demonstration of BAT and BEP in fossil fuel-fired utilities and industrial boilers in response to the Stockholm convention on POPs	4,028,629.14	Related project (PA): GF/RAS/09/001 GF/RAS/10/A03
SAP: 150033 SAP: 120420 (PPG/USD200,000)	BAT/BEP: ESEA open burning Demonstration of BAT and BEP in the management of open burning activities in response to Stockholm Convention on POPS	7,760,000.00	
GF/RAS/10/006 (SAP: 104068 /USD950,000.02) XP/RAS/11/001 (SAP: 104136/USD85,761.02)	Regional plan for introduction of BAT/BEP strategies to industrial source categories of Stockholm Convention Annex C of Article 5 in ESEA region	1,035,761.04	Related project (PA): GF/RAS/09/006
US/RAS/10/002	Survey on the post-harvest losses (PHL) of main food commodities in ASEAN countries – A baseline study for the development of technical assistance projects on the reduction of PHL in exporting and importing countries	196,500.00	
UE/GLO/11/035 (SAP: 100050)	Global UNIDO-UNEP programme on resource efficient and cleaner production in developing and transition countries	10,843,925.47	Related projects: UE/GLO/09/018, UE/GLO/11/A35-H35 PM: Ms. P. Schwager / Mr. S. Alhilali (original PM: Mr. H. Leuenberger)
US/CMB/03/048 (SAP: 104115)	Promotion of cleaner industrial production	965,231.98	Original PM: Mr. H. Leuenberger
FB/GLO/14 SAP: 130090	Partnership for action on green economy (PAGE), UNEP	841,026.00	Participation of CMB to be verified with PM
SAP: 130022	Green industry conference 2013	326,550.99	Participation of CMB to be verified with PM
SAP: 140041	RPTC / XP: Women in green industry	57,482.26	Participation of CMB to be verified with PM
SAP: 120107	UNIDO Green industry initiative, phase II	1,178,834.34	235,026.59 670,975.65 172,832.10 100,000.00
XP/GLO/10/005 (SAP: 104151)	Follow-up and implementation of green industry initiative	462,720.93	Related projects: XP/GLO/11/006, XP/GLO/11/010, XP/INT/10/008 PM: Ms. C. Linke (original PM: Mr. H. Leuenberger)
TE/GLO/09/029	Diffusion de la demarche d'appui aux clusters dans le cadre de cinq ateliers regionaux	145,250.00	PM: Ms. G. Ceglie
TOTAL (est.)		38,860,018.48	

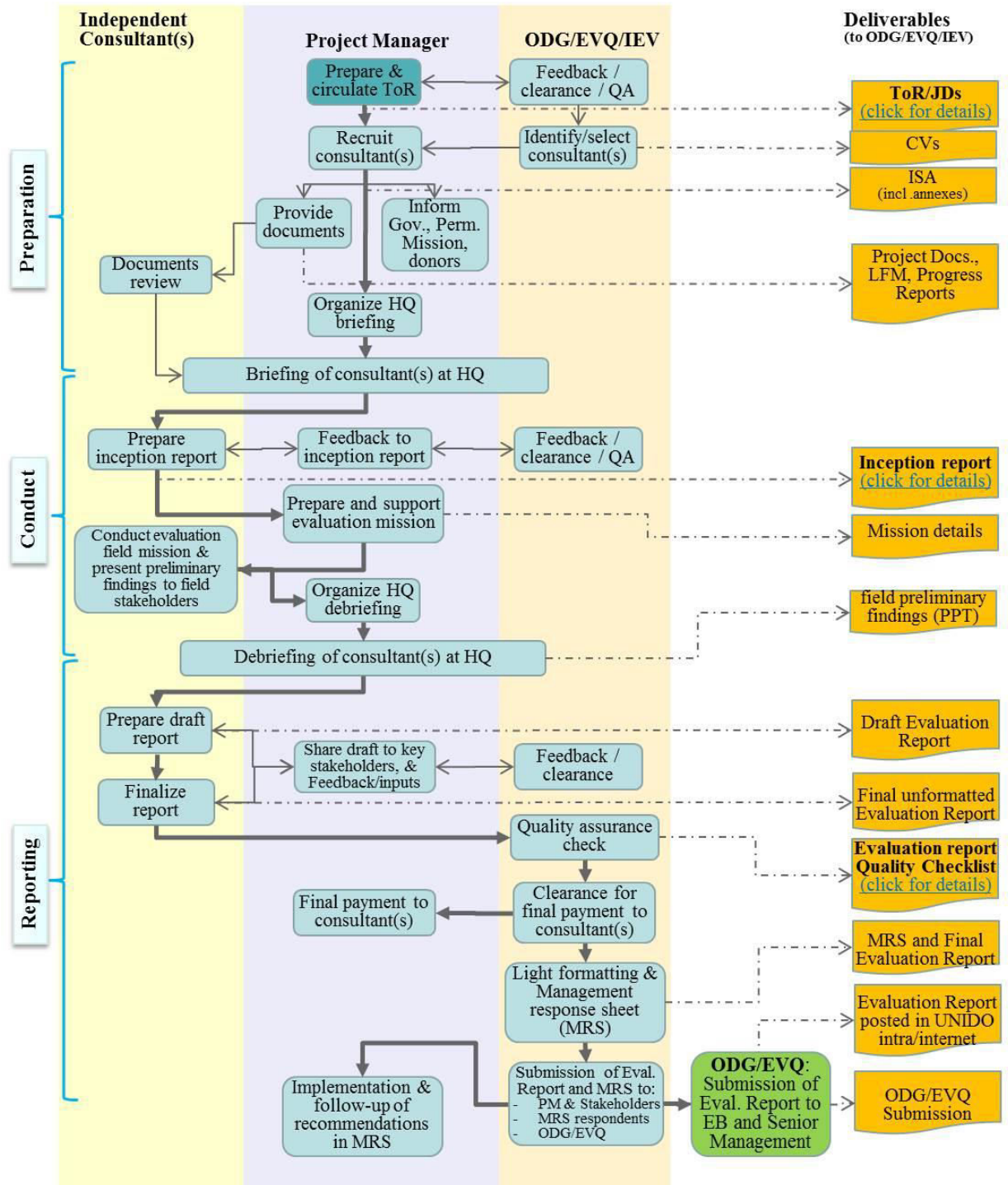
LAC REGIONAL (covered by MEX Reg. Office): Anguilla; Antigua and Barbuda; Bahamas; Costa Rica; Cuba; Dominican Republic; El Salvador; Grenada; Guatemala; Haiti; Honduras; Nicaragua; Panama; Saint Lucia; St. Vincent and Grenadines), excl. regional/global/intl. to be considered separately

Country	No. of projects	Total allotment (USD)	Remarks
Anguilla	1	64,979.94	Regional project: LAC Industrial knowledge bank
Antigua & Barbuda	1	10,000.00	MP project: HCFC phase-out management plan, stage II)
Bahamas	1	115,592.00	MP project: HCFC phase-out plan, stage I
Costa Rica	4	611,304.36	- Export consortia - Network development limon - CELAC Ministerial meeting - NIP Update
Cuba	6	6,074,819.01	CE took place in 2012
Dominican Republic	3	2,572,813.98	- Biomass energy - Generation and delivery of renewable energy - MP (MEB)
El Salvador	2	1,189,688.13	- Progressive and sustainable housing Life cycle analysis of chemical substances (SAICM)
Grenada	1	241,127.42	- Caribbean solar financing programme
Guatemala	9	6,545,236.70	- MP (MEB, HPMP) - PCB (PPG) - Enabling activities - NIP update - Metrology (CENAME)
Haiti	3	1,199,674.35	- PAMCI (TCB) - Post-disaster needs assessment & recovery framework
Honduras	5	1,664,161.82	- MP (MEB, HPMP) - Water & sanitation: test (MDG-f) - NIP update - CP
Nicaragua	13	3,478,225.45	- AGR/Cocoa value chain and entrepr. netw. dev. - Natl. dev. Capacities; cultural recovery & creative prod. dev. - MP (MEB, HPMP)

LAC REGIONAL (covered by MEX Reg. Office): Anguilla; Antigua and Barbuda; Bahamas; Costa Rica; Cuba; Dominican Republic; El Salvador; Grenada; Guatemala; Haiti; Honduras; Nicaragua; Panama; Saint Lucia; St. Vincent and Grenadines), excl. regional/global/intl. to be considered separately

Country	No. of projects	Total allotment (USD)	Remarks
			- TCB - NIP update
Panama	1	1,330,005.83	- Netw. of entrepreneurial opportunities
Saint Lucia	2	99,850.00	- MP (HCFC, HPMP)
St. Vincent and Grenadines	1	124,115.00	- MP
Total (est.)	53	25,321,593.99	

Annex II - Evaluation flowchart and responsibilities – Stand-alone projects (excl. GEF)



Annex III: Overview of stand-alone projects (excluding GEF projects) with an evaluation requirement during 2016/2017 (prov. list⁸)

Country	Project ID	Grant	Released budget (in USD)	Eval. approach	Tentative eval. timing	Eval. budget
2016 (34)						
India	120182	JPN/TF: ULH-MHP India	307,194.85	TE	2016 (to be confirmed PM)	USD 39,788 (PM will prepare budg. rev. to increase eval. budget)
South Sudan	120427	FB/SUD/120427:UN-Peacebuilding Fund South Sudan - Skills & employ for peace	839,000.00	To be confirmed by PM	2016 (to be confirmed PM/TL)	
UR Tanzania	101171	Country Framework of Support to UNDAP 2011-2015: Economic Growth Meat & cashew value chain development	1,180,367.03	Indep. eval.	2016 (to be confirmed PM/TL)	
Bangladesh	106000	Better Work and Standards Programme (BEST): Quality, Fishery	14,942,729.52	To be confirmed by PM	Q1/2016	
		Better Works and Standards Programme (BEST)	1,730,350.60			
Nigeria	130220	EE/NIR/130220: Nigeria - National quality infrastructure (NQI)	7315619.44	MTE (jointly with EU)	Q1/2016 (January)	EUR50,000
Somalia	140008	TF/SOM/140008: Community stabilization	884,956.00	TE (to be confirmed by PM)	Q1/2016	To be confirmed by PM
	140231	TF/SOM/140231: Community stabilization (CSR II)	929,204.00			
Egypt	106053	US/EGY/10/005: EMAP - Egypt: Upgrading the medicinal and aromatic plants value chain	2,495,574.94	TE	Q1/Q2-2016 (confirmed by PM after agreement with donor of a no-cost extension of project to 2016 (PM, 7 Nov. 2015))	EUR 20,000 (prel.)
Global	120444	FB/GLO/14/001, incl. XP funds: GLO: Climate Techn. Centre and Network (CTCN) GF funds: SAP 140307	50,000,000.00	Joint UNIDO-UNEP MTE (as per agreement between UNEP and UNIDO, a full-fledged MTE will be carried out)	Q2/2016	USD 15,000 to be conducted with UNEP (joint programme)
Uruguay	100041	SF/URU/11/001: Training Centre for Mechatronics and Automation Technology for the Industry in Uruguay	1,648,572.10	Final evaluation	04/2016	To be confirmed by PM

⁸ Source: Information received from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Infobase, and SAP

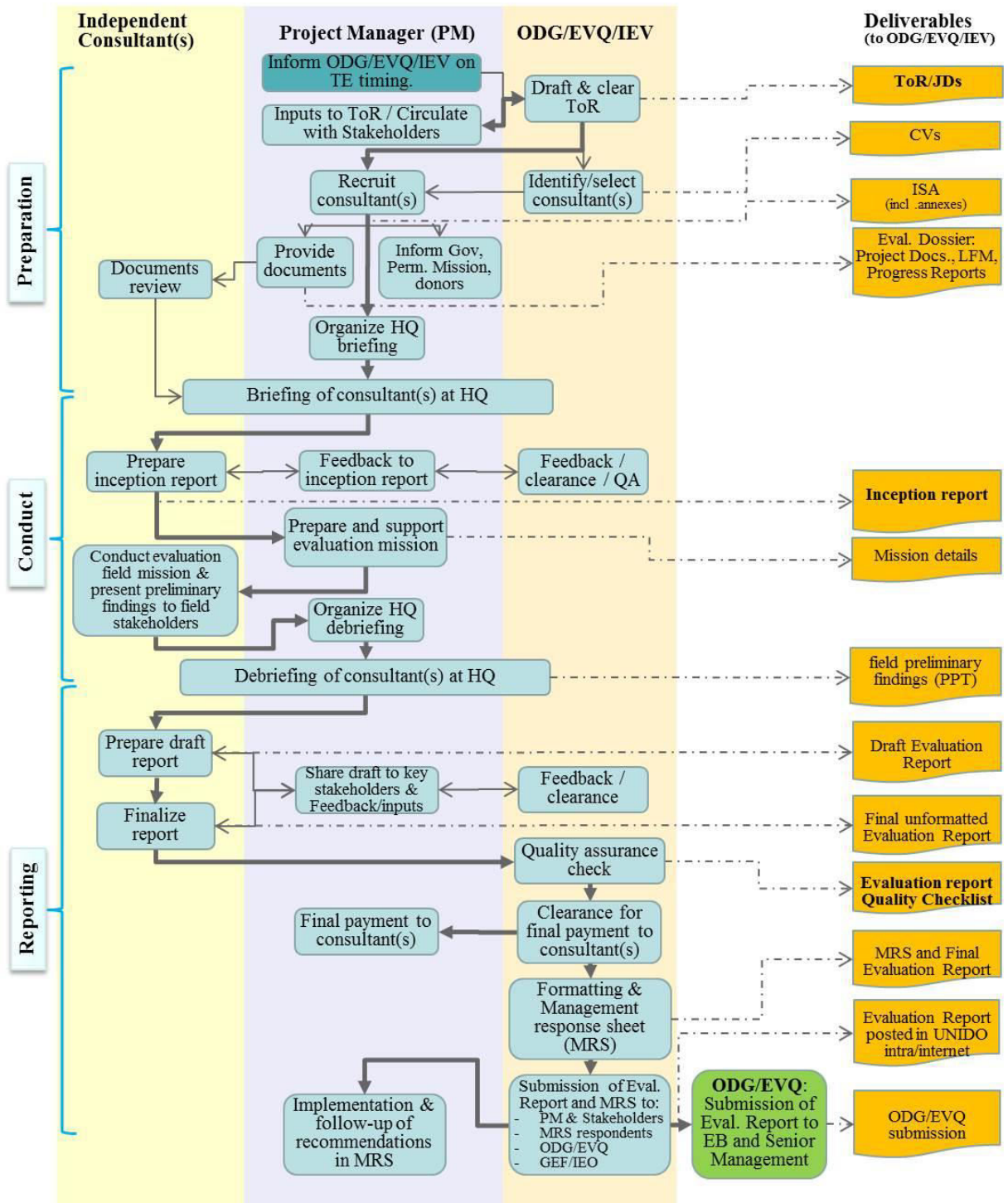
Country	Project ID	Grant	Released budget (in USD)	Eval. approach	Tentative eval. timing	Eval. budget
UR Tanzania	102175	FB/URT/11/G04: Country Framework of Support to UNDAP 2011-2015: Economic Growth Industrial Upgrading and Mod.	906,541.84	TE	Q2/2016	To be confirmed by PM
Sudan	130130	TE/SUD/15/130130_Building instit. capacities the marine fishery	1,097,929.33	MTE	Q2/2016	EUR25,000
			45,555.61	(TE)	(Q4/2017)	(EUR25,000)
Viet Nam	130253	Promotion of CSR in Vietnamese SMEs	273,201.86	Final evaluation	Q2/2016	EUR20,000
Global	130257 (102133)	UNIDO service in Japan for the promotion of industrial investment in developing countries (Investment and Technology Promotion Office)	6,029,409.48	Independent evaluation	Q2 (May/June 2016: to be confirmed by PM)	To be confirmed by PM
Reg. Asia-Pacific (SAARC region: Bhutan, Maldives, Nepal)	106034	Market access and trade facilitation support for South Asian LDCs through strengthening institutional and national capacities related to standards, metrology, testing and quality (SMTQ), phase II	EUR910,780	TE	Q2 or Q3/2016	EUR45,000
Armenia	140117	Improving competitiveness of export-oriented industries in Armenia through modernization and market access	1,000,000.00	To be confirmed by PM	Q3/2016	To be confirmed by PM
DRC	130194	SF/ZAI – Development agro-processing center (via Wo ...)	803,748.00	MTE	2 nd half/2016 (to be confirmed by PM)	USD49,720
		TE/ZAI/130194: TF Agro-Industry	385,568.63			
Indonesia	100224	RECP Programme Indonesia	3,401,135.64	MTE	Q3/2016	EUR17,000
Iraq	100209	TF/IRQ/11/004: National Quality Infrastructure	11,363,660.21	Final evaluation	Q3/2016	USD180,000
Burundi	100318	TE/BDI/12/001: TCB for Burundi (EIF)	1,851,059.62	TE	Q3/2016 (to be confirmed by donor)	EUR40,000
			324,134.49			
	106013	TE/BDI/11/001, YA/BDI/11/003: Trade Capacity Building for Burundi: Improving Burundi's SPS	1,988.36 28,484.56	Related project		
Regional Asia (Mekong region: Cambodia and Lao PDR)	106078	Trade capacity-building in the Mekong delta countries of Cambodia and Lao PDR through strengthening institutional and national capacities related to standards, metrology, testing and quality (SMTQ), phase III (Mekong Phase III)	909,191.04	Final eval.	Q3/2016 or Q1/2017	EUR40,000
Regional Africa	100080	EE/RAF/14/002: Programme Infrastructure Qual.del'Afrique (PIQAC – CEMAC)	2,017,170.48	MTE	07/2016	EUR100,000
Armenia	120455	Productive work for youth in Armenia – supporting young entrepreneurs	EUR768,000	TE	Q4/2016	To be confirmed by PM
Namibia	100204	NAMIBIA – Trade capacity for exports	1,011,024.98	Final evaluation (to be confirmed by PM)	Q4/2016	EUR30,000 (excl. PSC)

Country	Project ID	Grant	Released budget (in USD)	Eval. approach	Tentative eval. timing	Eval. budget
Reg. Asia-Pacific	120225	RAS Pacific Islands: RE Strategic Progr.	760,152.00	MTE	Q4/2016	USD 22,000
Zambia	120501	TE/ZAM/13/120501: TCB for Zambia, Phase II. NORAD	940,565.28	Final evaluation (to be confirmed by PM)	Q4/2016	EUR40,000 (excl. PSC)
	106043	TE/ZAM/09/001: TCB Programme Framework for Zambia	2,792,809.87	Related proj.		
Global	120117	Strengthen local medicines production (UE/GLO/12/048) - Proj. No. TE/GLO/12/028 – SAP 120191 to be covered by evaluation.	2,655,408.94	To be confirmed by PM	Q4/2016 or Q1/2017	EUR30,000
Global	120212	TE/GLO/12/033: Learning and knowledge development facility	EUR1,193,280	TE	Q4/2016 (as late as possible)	USD25,000
Global	130020	Promoting Inclusive and Vibrant Growth of Africa by Investing in Youth through Entrepreneurship, Technical and Vocational Education (TICAD V Youth entrepreneurship) TF/RAF/130020 funded from UB Japan	1,163,920.00	TE	To be confirmed by PM	USD30,000
Liberia	140223	Entrepreneurship and Skills Training for Ivorian Refugees and Host Communities to Strengthen Resilience and Improve Livelihoods in Grand Gedeh County, Liberia TF/LIR/140223_Japanese supplementary budget approved in 2015	1,238,938.00	TE	To be confirmed by PM	USD35,000
Madagascar	120588	EE/MDC/15/001, 120588, 2014355-270: Madagascar – Sugar value chain	1,104,196.50	MTE	To be confirmed by PM	EUR20,000
Ethiopia	150037	PCP Ethiopia	550,739.00	MTE	To be confirmed by PM	To be confirmed by Mr. Tezera
Senegal	150038	PCP Senegal	554,458.55	MTE	To be confirmed by PM	To be confirmed by Mr. Boye
South Sudan	101115	Sustainable Food Security through Community-based Livelihood	2,226,958.79	Joint final evaluation (UNIDO/FAO)	To be confirmed by PM	To be confirmed by PM
Global	102132 (US/GLO/09006) and 130308 (2014-2016)	ITPO Seoul (Republic of Korea)	2,071,329.21	Indep. eval.	Q4	To be confirmed by PM
2017 (21)						
Ghana	100317	Ghana – Improving Quality Value Chain	3,007,965.00	To be confirmed by PM	2017	
Global	120601	US/GLO/120601: UNIDO-METI TT Programme	7,208,405.69	TE	Q1/2017	USD 50,000

Country	Project ID	Grant	Released budget (in USD)	Eval. approach	Tentative eval. timing	Eval. budget
Myanmar	120027	TE/MYA/13/001, 120027: Strengthening the National Quality Infrastructure (NQI) for trade in Myanmar	1,060,366.92	Final eval. (to be confirmed by PM)	Q2/2017	EUR41,052 (excl. PSC)
Regional Africa	130238	Start-up and first operational phase of the East African Centre for Renewable Energy and Energy Efficiency (EACREE) – ADA	1,210,000.00	TE	Q2/2017	USD 15,000
Uganda	140015	Reducing vulnerability of banana producing communities to climate change through banana value added activities – Enhancing food security and employment generation	2,820,000.00	Mid-term eval.	Q2/2017	To be confirmed by PM
Regional Europe	120143	TE/120143: EaP Regional RECP Programme	1,148,141.97	To be confirmed by PM	Q2 or Q3/2017	
		UE/RER/120143: Regional Resource Efficient and Cleaner Production (RECP) demonstration programme for EU Eastern Neighbourhood	143,657.26			
Bangladesh	120170	TE/BGD/13/001: Bangladesh: Dairy hub – Inception	3,133,000.00	Final eval.	Q3/2017	EUR60,000 [Note: dramatic decrease of budget funds due to exchange rate differences between SEK and USD might influence the total amount available at the end of the project]
Global	104079	TE/GLO/09/013: Facilitator mechanism for the establishment of an international biotechnology network (phase 1)	1,461,444.20	Final eval.	Q3/2017 (to be confirmed by PM)	To be confirmed by PM
Nigeria	130220	EE/NIR/130220: National quality infrastructure (NQI)	7,315,619.44	TE	Q3/2017	EUR50,000
Reg. ThAmericas	130200	TE/130200: Start up and first oper.phase of the Caribbean Centre for RE&EE	731,892.11	MTE	Q3//2017	USD 18,000
	130276 (related projects)	TE/RLA/130276 (TF for renewable energy): PA Caribbean Centre for RE&EE	67,178.97			
		TE/130276: PA Caribbean Centre for RE&EE	68,831.23			
Global	102055 (SF-SN/10/007) and 140099 (2014-2017)	ITPO Shanghai	1,735,162.20	Indep. eval.	Q3/2017	To be confirmed by PM
Nigeria	140070	SF/NIR/12/006, 120552: Country Programme for Nigeria 2013-2016 Capacity strengthening-NIRP	1,198,000.00	To be confirmed by PM	Q3 or Q4/2017	EUR50,000

Country	Project ID	Grant	Released budget (in USD)	Eval. approach	Tentative eval. timing	Eval. budget
Egypt	130034	EE/RAB/130034: Development of clusters in cultural and creative industries in the South Mediterranean (MENA) region	2946361.67	To be confirmed by PM	Q4//2017	EUR60,000
Kyrgyzstan	140116	US/KYR/14/140116: Promoting community level job creation and in	1,769,911.50	To be confirmed by PM	Q4/2017	To be confirmed by PM
Morocco	120625	UE/MOR/120625: Accès aux Marchés Produits Agro Maroc	1,265,559.77	To be confirmed by PM	Q4//2017	EUR25,000
		UE/MOR/120625: SWI Proj Prep Facility Euro a/c	71,885.23			
Nigeria	120624	SF/NIR/13/120624: Nigeria – Supporting SCPZs: Tasks	1,269,300.00	TE (to be confirmed by PM)	Q4/2017	USD 35,000
Regional Africa	120386	TE/120386: SACREEE – PA and establishment	826,635.66	MTE	Q4/2017	USD 30,000
	120253	RAF – Establishing a SADCREEE Centre PA	82,832.14			
Regional Africa	140046	Upgrading sanitary compliance capabilities in the fisheries value chain in West Africa	1,020,000.00	Final eval.	Q4/2017	USD23,000 [Note: PM was informed by EVA that funds for eval. need to be increased, JG, 4/11]
Iran	120595	Safron Value Chain	1,937,950.00	Final eval.	Q4/2017	USD30,000
Sudan	130130	TE/SUD/15/130130: Building instit.capacities the marine fishery	1,097,929.33	(MTE)	(Q2/2016)	(EUR25,000)
			45,555.61	TE	Q4/2017	EUR25,000
Tunisia	120622	UE/TUN/120622: Accès aux Marchés Produits agro Tunisie	1,482,469.58	To be confirmed by PM	Q4/2017	EUR35,000

Annex IV: Evaluation flowchart and responsibilities – GEF projects (TEs)



Annex V: Summary of evaluation requirements and roles (responsible project manager and ODG/EVQ/IEV) for UNIDO implemented GEF projects⁹

	Terminal evaluations (TE)
Formal requirements	<p>TEs are mandatory for all projects (irrespective of size) except for enabling activities approved under expedited procedures.</p> <p>They have to be carried out within 6 months before or after project termination.</p> <p>TEs have to comply with UNIDO evaluation policy and process and with the GEF Guidelines for Terminal Evaluations.</p>
ODG/EVQ/IEV role	<p><u>Responsible and quality assurance of the Evaluation process</u></p> <p>Upon confirmation of the evaluation timeframe by the PM, ODG/EVQ/IEV, in cooperation and with inputs by the PM:</p> <ul style="list-style-type: none"> - Prepares and clear the terms of reference (TOR) and the job description (JD) of the evaluation consultant(s); - Selects evaluation consultant(s). - Review and clear the inception, draft and final evaluation reports; - In coordination with PM, organizes the Evaluation Team's presentation of preliminary evaluation findings at UNIDO HQ, which serves to generate feedback on and discussion of preliminary evaluation findings and recommendations; - Review and clear the final evaluation report, and format the report to EVA standards. - Issue and circulate within UNIDO the final TE report together with a Management Response Sheet; - Submits the final TE report to the GEF Independent Evaluation Office together with a quality review. The evaluators' ratings are reviewed by ODG/EVQ/IEV; - Uploads the final TE report on the intra- and internet and archives it in the ODG/EVQ/IEV library.
Project Manager (PM) role	<p><u>Responsible of the administrative, financial and logistical support to the evaluation process</u></p> <p>The PM:</p> <ul style="list-style-type: none"> - Recruits the evaluation consultant(s) selected by ODG/EVQ/IEV; - Provides a full set of documents and relevant information to the evaluation team - Supports the organization of the evaluation mission, including travel arrangements; <ul style="list-style-type: none"> - Reviews for factual validation the draft evaluation report and provides comments; - He/she is responsible for collecting inputs to the Management Response Sheet and for the timely submission of the same to ODG/EVQ/IEV.

⁹ Other types of GEF evaluations: The GEF Evaluation Offices reserves itself the right to do verifications of evaluations done by the GEF Agencies. UNIDO and Government Partners are responsible to provide information as required by such exercises. The GEF Evaluation Office carries out Country Portfolio Evaluations (CPEs). Whenever such CPEs are done a selection of projects is analyzed in detail, regardless of whether they have been evaluated before by the GEF Agency. UNIDO and Government partners are responsible to provide information as required by such exercises. UNIDO carries out Country Evaluations looking at the entire UNIDO portfolio in a country. GEF projects, regardless of whether they have been or will be evaluated at the project level, can be evaluated within such Country Evaluations.

Annex VI: Overview of GEF projects that require a terminal evaluation during 2016/201710

Country	Project ID	Grant	Released Budget (in USD)	Tentative eval. timing	Eval. budget
2016 (26)					
Armenia	120344	ARM – Clean Energy Tech Innovation (Main)	547,946.00	Q1/2016	USD6,200
Gambia	103023	GF/GAM/11/001: GAMBIA GEF 4: SPWA-CC Promotion renewable energy based mini grids for production	1,758,190.02	Q1/2016	USD30,000
Philippines	120016	Improve the Health and Environment of Artisanal Gold Mining Communities in the Philippines by Reducing Mercury Emissions	14,100,000.07	Q1/2016	USD35,000
Reg. Asia-Pacific	104066	GF/RAS/10/003: Demonstration of BAT and BEP in Fossil Fuel-fire Utility and	3,980,000.00	Q1/2016	USD30,000
Russian Federation	105324	GF/RUS/11/001: TT-Pilot (GEF4): Phase-out HCFCs & Promotion of HFC-Free Ener	18,000,000.02	Q1/2016 (tent.)	to be confirmed by PM
Argentina	130001	Preparatory Project to Facilitate the Implementation of the Legally Binding Instrument on Mercury (Minamata Convention) in Argentina to Protect Health and the Environment	350,000	Q2/2016 (May 2016)	USD15,000
Côte d'Ivoire	100186	GF/IVC/12/005, TE/IVC/12/004: Promoting renewable energy-based grids in rural communities for productive uses in Cote d'Ivoire	863,691.00	Q2/2016 (to be confirmed by PM: Q1/2016)	USD21,000
Regional Africa	100336	XX/RAF/11/X09: Hg-ASGM Francophone GEF	990,000.01	Q2/2016 (responsibility to be confirmed by PM)	USD15,000
	100337	GF/RAF/12/001: Improve the health and environment of artisanal gold mining communities by reducing mercury emissions and promoting sound chemical management	782,906.64		
Azerbaijan	104030	GF/AZE/10/001: Azerbaijan PCBs: Environmentally sound management	2,120,000.06	05/2016	to be confirmed by PM
China	104036	GF/CPR/07/008: Environmental sustainable medical waste management	11,649,999.99	09/2016	to be confirmed by PM
China	104147	GF/CPR/09/006: ESM POPs Waste China	9,959,000.01	09/2016	to be confirmed by PM
China	130176	China's compliance with the SC	2,000,000.00	11/2016	to be confirmed by PM
Reg. Th Americas	100271	GF/RLA/12/003: Implementing Integrated Measures for Minimizing Mercury Releases from Artisanal Gold Mining	999,900.00	Q3/2016	to be confirmed by PM
Regional Africa	103052	RAF Regional Progr under GEF: SPWA-CC: Promoting coherence, integration and knowledge mana	699,999.99	Q3/2016	USD30,000
		UNIDO Energy Programme for West Africa	114,343.37		

¹⁰ Source: Information received from from PTC/OMD as at 13 October 2015 and from project managers as at the same date; and SAP

Country	Project ID	Grant	Released Budget (in USD)	Tentative eval. timing	Eval. budget
		Promoting coherence, integration and knowledge management un	362,886.09		
South Africa	130129	South Africa – CleanTech	1,990,000.00	Q3/2016	USD20,000
Turkey	130124	Turkey – CleanTech MSP		Q3/2016	USD13,000
Cambodia	100223	GF/CMB/12/002: Technology Transfer Cambodia	1,690,000.00	Q4/2016 [dep. On no cost extension - eval. might be postp. To 2017]	USD36,000
Egypt	100349	GF/EGY/12/001 Other related projects: 4000032/XPEGY12001	3,949,999.99	Q4/2016	USD30,000
India	120345	India GEF 5 Clean Tech	1,000,000.00	Q4/2016	USD20,000
Malaysia	103042	GF/MAL/09/001, GF/MAL/11/002, XP/MAL/11: Industrial energy efficiency for Malaysian manufacturing sector	4,200,000.00	Q4/2016	USD20,000
Malaysia	120096	Malaysia – GEF 5 – MSP – CleanTech	990,000.00	Q4/2016	USD10,000
Mongolia	120097	Mongolia – Hg impact reduction	600,000.00	Q4/2016	to be confirmed by PM
Morocco	104051	GF/MOR/09/002: Safe PCB management programme in Morocco	2,440,422.45	Q4/2016	to be confirmed by PM
Pakistan	130063	Cleantech Pakistan	1,369,863.00	Q4/2016	USD35,000
Peru	104054	GF/PER/10/001: Environmentally sound management and Disposal of PCBs	2,579,963.66	Q4/2016 (Nov./Dec . 2016)	to be confirmed by PM
Russian Federation	103056	GF/RUS/10/004, XP/RUS/11/002, GF/RUS/10/A04: Market transformation programme on energy efficiency in GHG-i	8,078,624.76 165,134.96	Q4/2016	USD60,000
2017 (21)					
Guinea	100185	GF/GUI/12/004: Promoting development of multipurpose	863,700.00 727,272.72 555,097.67	Q1/2017	USD60,000
Inter-Regional	104064	GF/RAF/11/010: Regional-ECOWAS-TA on POPs: Capacity strengthening and technical assistance for the implementation of the Stockholm Convention in African LDCs of ECOWAS	4,059,725.54	Q1/2017	to be confirmed by PM
Philippines	103049	GF/PHI/11/002, XP/PHI/11/001: Industrial energy efficiency	3,166,065.00	Q1/2017	USD50,000
Regional Africa	104063	GF/RAF/11/008: Capacity strengthening and technical assistance for the implementation of Stockholm Convention National Implementation Plans (NIPs) in African least developed countries (LDCs) of the SADC sub-region	1,391,831.40	3/1/2017	USD 12,000 (as per prodoc)
Regional Africa	104065	GF/RAF/11/012: Capacity strengthening and technical assistance for the implementation of Stockholm Convention National Implementation Plans (NIPs) in African least developed countries (LDCs) of the COMESA sub-region	2,500,000.00	3/1/2017	USD 15,000 (as per prodoc)

Country	Project ID	Grant	Released Budget (in USD)	Tentative eval. timing	Eval. budget
Chad	120617	Promoting energy efficient cook stoves in micro- and small	665,000.00	Q2/2017	USD30,000
Mongolia	104049	GF/MON/09/001, XP/MON/11/001: SC10.49%	2,650,000.02	Q2/2017	to be confirmed by PM
Nigeria	100260	GF/NIR/12/001, YA/NIR/12/002: SPWA-CC Mini-grids based on renewable energy (small-hydro an	2,621,799.99	Q2/2017	USD30,000
Thailand	103071	GF/THA/11/001, XP/THA/11/002: Industrial energy efficiency	3,620,000.00	Q2/2017	USD60,000
Ukraine	103078	Improving energy efficiency and promoting renewable energy in agro-food/SME	5,156,108.37	Q2/2017	USD 20,000
Viet Nam	120263	Vietnam – GEF5 – Promotion of EE boiler	1,771,000.00	Q2/2017	USD30,000
Iran	120506	Iran – Energy efficiency	5,450,000.00	Q3/2017	USD 30,000 Other related projects: 4000171/XPI RA12001
		(SAP ID: 120506) GEF-4 Iran: Industrial Energy Efficiency	37,649.76		
		TF/IRA/120506_Agreement between UNIDO and Abadan Oil Refining	600,000.00		
		TF/IRA/120506_Agreement between UNIDO and Esfahan Steel Compa	90,665.70		
Thailand	100258	Thailand_GEF 4184 Biomass power plants (GF/THA/10/004)	975,000.00	Q3/2017	USD40,000
India	104160	GF/IND/11/004: Environmentally Sound Management of Medical Wastes in India	8,122,248.79	Q3 or Q4/2017 (to be confirmed by PM)	to be confirmed by PM
India	104044	Environmentally Sound Management & Final Disposal of PCBs (GF/IND/10/001)	14,100,000.07	Q4/2017	USD30,000
Indonesia	103031	GF/INS/11001, XP/INS/11/002: Promoting energy efficiency in the industries through system	2,180,380.00	Q4/2017	USD45,000
Liberia	100330	Liberia GF/LIR/12/002-YA/LIR/12/003	1,758,181.99	Q4/2017	USD62,000
Sierra Leone	100328	GF/SIL/12/005, XX/SIL/09/X02: Sierra Leone GEF 4	1,758,182.00	Q4/2017	USD66,000
Thailand	100264	GF/THA/12/001: Ethanol production from cassava	2,600,000.00	Q4/2017	USD30,000
Thailand	130312	Clean tech programme for SMEs in Thailand	1,826,500.00	Q4/2017	USD30,000
Armenia	150063	BAT-BEP Armenia	853,000.00	2017 (tent.)	USD30,000

Annex VII: Donor evaluations (UNIDO involvement to be decided)

Main eval. responsibility	Country	Project ID	Grant	Released Budget (in USD)	Type of eval.
Norad	Malawi	106075	TE/MLW/13/001: Market access and trade capacity building_	868,435.45	To be confirmed by PM
			DZ/MLW/106075: SQAM Infrastructure in Malawi (EU-UNDP)	1,869,242.25	
			Malawi Market Access & TCB	18,236.08	
			Malawi: Market access and trade-capacity building support f	55,801.84	
To be confirmed by PM	Iraq	101100	TF/IRQ/11/001: IRAQ-SCANIA: Operations and industrial maintenance training academy	2,426,804.21	2016 (to be confirmed PM)
EC (with involvement of UNIDO)	Mozambique	100082	EE/MOZ/12/004, XX/MOZ/11/X01: COMPETIR com Qualidade	3,861,786.89	External final eval. by EC
			U/MOZ/12/002: BEST co-funding EU project	605,662.10	
EU (with involvement of UNIDO)	Haiti	120577	Haiti – PAMCI – BHN	1,131,648.71	External final eval. by EC
EU (to be confirmed by PM)	Pakistan	106005	Trade-related Technical Assistance (TRTA) – II	13,023,222.06	External final eval. by EC
			UNIDO contribution for EE/PAK/09/008 – Trade Related Technical Ass. II	612,337.18	
To be confirmed by PM	Arab Regional	120176	Arab States - Aid for trade initiative (ITFC)	399,691.59	Partner agency